



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COPY OF PAPERS  
ORIGINALLY FILED

In re Patent Application of

JOSE GABRIEL MENCHERO

Application No. 09/613,855

Filed: July 11, 2000

For: **METHOD AND SYSTEM FOR MULTI-  
PERIOD PERFORMANCE  
ATTRIBUTION**

Group Art Unit: 3628

Examiner: Nga B. Nguyen

**RESPONSE TO  
OFFICE ACTION**

400 Montgomery Street, Suite 1110  
San Francisco, CA 94104  
(415) 433-2250

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on August 30, 2002.

Commissioner for Patents  
Washington, DC 20231

SEP 09 2002

GIRARD & EQUITZ LLP

Date: 08/30/02

By:

Marsha A. Townsend

**RECEIVED**  
**GROUP 3600**

Sir:

This is in response to the Office Action mailed on June 24, 2002, in the referenced application. Please amend the application as follows and consider the following remarks.

IN THE CLAIMS:

Amend claims 4, 6, 8, 9, and 12 to read as follows [attached is an appendix including a marked up version of the amended claims showing the differences between the claims as originally filed or previously amended, and the claims as hereby amended]:

4. (Amended) A geometric performance attribution method for determining portfolio performance, relative to a benchmark, over multiple time periods  $t$ , where  $t$  varies from 1 to  $T$ , comprising the steps of:

determining attribution effects for issue selection  $(1 + I_{it}^{G, Vestek})$  given by

$$1 + I_{it}^{G, Vestek} = \left( \frac{1 + w_{it} r_{it}}{1 + w_{it} \bar{r}_{it}} \right) \Gamma_t$$

and determining attribution effects for sector selection  $(1 + S_{it}^{G, Vestek})$  given by

B1



GIRARD & EQUITZ LLP  
400 Montgomery Street, Suite 1110  
San Francisco, CA 94104  
(415) 433-2250

COPY OF PAPERS  
ORIGINALLY FILED

3628

In re Patent Application of: Jose Gabriel Menchero

Attorney's Docket No. VTEK-100

Application No. 09/613,855

Group Art Unit: 3628

Filed July 11, 2002

Examiner: NGA B. NGUYEN

For: **METHOD AND SYSTEM FOR MULTI-PERIOD PERFORMANCE ATTRIBUTION**

Commissioner for Patents  
Washington, DC 20231

**RECEIVED**

SEP 09 2002

Sir:

Transmitted herewith is a *Response to Office Action* in the above-identified application.

**GROUP 3600**

The fee has been calculated as shown below.

	Claims Remaining After Amendment	Highest Number Previously Paid For	Present Extra	Rate	Additional Fee
Total	12	20	0	\$18	\$0
Independent	8	8	0	\$84	\$0
<input type="checkbox"/> First Presentation of Multiple Dependent Claims				\$280	\$0
				Total	\$0
<input type="checkbox"/> Small Entity 50 percent Filing Fee Reduction (if applicable)					\$0

- ☒ No additional fee is required.
- ☐ A check in the amount of \$\_ is attached.
- ☒ Please charge any additional fees, including any fees necessary for extensions of time, or credit overpayment to Deposit Account No. 50-1697. **A duplicate copy of this sheet is enclosed.**
- ☐ Petition for extension of time. The undersigned attorney of record hereby petitions for an extension of time pursuant to 37 C.F.R. § 1.136(a), as may be required, to file this response.

GIRARD & EQUITZ LLP

August 30, 2002  
Date

By: Alfred A. Equitz  
Alfred A. Equitz  
Registration Number 30,922  
Attorney(s) or Agent(s) of Record

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on August 30, 2002.

Dated: AUGUST 30, 2002

By: Marsha A. Townsend  
Marsha A. Townsend